

# Directors & Officers Liability

Hermes Sargent Bates takes an aggressive approach to defending director and officer liability cases, representing both individuals and entities. In this vein, the firm engages experts early in the litigation to review the alleged misconduct and to guide discovery with an eye toward judgment as

a matter of law. Our firm generally is the first to seek and obtain discovery. In this way, we control the pace of the litigation and obtain the information necessary to support dispositive motions and early settlement.

Our Directors & Officers Liability Attorneys

Charles Weigel, Jr.

## Representative Experience:

- o Successfully defended former officer and director of defunct technology company against claims of state statutory and common law fraud, negligence, negligent misrepresentation and breach of fiduciary duty.
- o Successfully resolved suit against large religious organization accused of fraud and other wrongdoing on the part of its former and current officers and directors.
- o Represented former principal of three major hedge funds in SEC regulatory and civil shareholder action.
- o Represented international brokerage firm in a case brought by directors and officers for fraud, negligent misrepresentation and breach of fiduciary duty when DLJ declined the company's initial public offering after a lengthy road show.
- o Represented directors and officers of national meatpacking company in case brought by minority shareholder for breach of fiduciary duty when squeezed out.
- o Represented international technology company in a case brought by minority shareholders across the country for breach of fiduciary duty.

## Representative Clients:

- o Public companies in a variety of business sectors
- o Closely held companies
- o Partnerships
- o Large religious organizations formed under the Texas Non-Profit Corporations Act
- o Individual, both former and current, officers and directors in all business sectors, including high tech and financial sectors

